

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**RESPONSE TO NOTICE TO FILE
CORRECTED APPLICATION PAPERS--
FILING DATE GRANTED**

Docket Number:
11746/46002

Application Number
10/053,498

Filing Date
January 17, 2002

Examiner
To Be Assigned

Art Unit
1642

Invention Title
**HEAT SHOCK PROTEIN-BASED VACCINES
AND IMMUNOTHERAPIES**

Inventor(s)
ROTHMAN, et al.

Address to:
Commissioner for Patents
Washington D.C. 20231

I hereby certify that this correspondence is being hand-delivered to the United States Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202 on

Date: January 16, 2003

Signature: _____

Lawrence P. Casson (Reg. No. 46,606)

In response to the Notice to Comply With Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed December 3, 2002, a copy of which is enclosed, applicants submit herewith the following:

Eighty-eight (88) pages of Sequence Listings in written form, two (2) identical diskettes containing the same Sequence Listing in Computer Readable format and a Sequence Statement Under 37 C.F.R. §1.821(f) and §1.821(g).

No fee is believed due. However, the Commissioner is hereby authorized to charge any fees or credit any overpayment relating to this communication to the deposit account of Kenyon & Kenyon. Deposit account No. 11-0600.

A duplicate copy of this communication is enclosed for charging purposes.

Dated: January 16, 2003

By: _____

Lawrence P. Casson (Reg. No. 46,606)

KENYON & KENYON
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : ROTHMAN, et al.
Serial No. : 10/053,498
Filing Date : January 17, 2002
For: : HEAT SHOCK PROTEIN-BASED VACCINES
AND IMMUNOTHERAPIES
Examiner : To Be Assigned
Art Unit : 1642

Commissioner for Patents
Washington D.C. 20231
BOX SEQUENCE

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Arlington, VA 22202 on

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Lawrence P. Casson (Reg. No. 46,606)

SUBMISSION OF
SEQUENCE LISTING STATEMENTS

SIR:

STATEMENT UNDER 37 CFR §1.821(f)

I hereby state that the information recorded in computer readable form is identical to
the written sequence listing.

STATEMENT UNDER 37 CFR §1.821(g)

I hereby state that the submission, filed in accordance with 37 CFR 1.821(g), does not
include new matter.

Respectfully submitted.

KENYON & KENYON

Dated: January 16, 2003

By: _____

Lawrence P. Casson

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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10 053,498	01 17 2002	James E. Rothman	11746 46002

26646
KENYON & KENYON
ONE BROADWAY
NEW YORK, NY 10004

CONFIRMATION NO. 3379

FORMALITIES LETTER



OC000000009186003

Date Mailed: 12/03/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
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A copy of this notice MUST be returned with the reply.

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PART 2 - COPY TO BE RETURNED WITH RESPONSE